

Electronic Acknowledgement Receipt

EFS ID:	4317921
Application Number:	10071053
International Application Number:	
Confirmation Number:	9308
Title of Invention:	Systems and methods for administering return sweep accounts
First Named Inventor/Applicant Name:	Bruce Bent
Customer Number:	22428
Filer:	William T. Ellis/Heidi Hernandez
Filer Authorized By:	William T. Ellis
Attorney Docket Number:	049212-0104
Receipt Date:	19-NOV-2008
Filing Date:	08-FEB-2002
Time Stamp:	16:38:24
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		49212-104.pdf	1310061	yes	23
			6d271c2e1a6f8d38dc9f8b017ee8c771bc1b56		

Multipart Description/PDF files in .zip description		
Document Description	Start	End
Information Disclosure Statement Letter	1	3
Information Disclosure Statement (IDS) Filed (SB/08)	4	4
NPL Documents	5	6
NPL Documents	7	17
NPL Documents	18	20
NPL Documents	21	23

Warnings:

Information:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.